Doc Code: AP.PRE.REQ

Approved for use through xx/xx/200x. OMB 0651-00xx

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no property of the 1995, no property of 1995, n Docket Number (Optional)

PRE-APPEAL BRIEF REQUEST FO	R REVIEW	4009-29
	Application Number	Filed
	09/803,022	March 12, 2001
	First Named Inventor	
	AUNE	
	Art Unit	Examiner
	3621	Backer, Firmin
Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.		
This request is being filed with a notice of appeal.		
The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided.		
I am the Applicant/Inventor	40	Signature
Assignee of record of the entire interest. See 37 C.F.R. § 3.71. Statement under 37 C.F.R. § 3.73(b is enclosed. (Form PTO/SB/96)		John R. Lastova
	T	yped or printed name
Attorney or agent of record 33,149 (Reg. No.)		703-816-4025
(neg. No.)	Regu	ester's telephone number
	. 1042	·
Attorney or agent acting under 37CFR 1.34.		December 16, 2005
Registration number if acting under 37 C.F.R. § 1,34	_	Date
NOTE: Signatures of all the inventors or assignees of recrequired. Submit multiple forms if more than one signature	cord of the entire interes re is required, see below	t or their representative(s) are
★Total of 1 form/s are submitted. ★ Total of 2 form/s are submitted. ★ Total of 3 form/s are s		

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTo-9199 and selection option 2.



In re Patent Application of

Leif Einar AUNE

Atty. Ref.: 4009-29; Confirmation No. 7351

Appl. No. 09/803,022

TC/A.U. 3621

Filed: March 12, 2001

Examiner: Backer, Firmin

For: COMMUNICATION IDENTIFIER METHOD

December 16, 2005

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT OF ARGUMENTS IN SUPPORT OF PRE-APPEAL BRIEF REQUEST FOR REVIEW

There have been <u>five</u> non-final office actions in this case. In response to the fifth, non-final office action dated September 16, 2005, Applicant files this pre-appeal request.

Clear Error #1: McConnell And Modarressi Fail To Teach Combining An APN Gateway Address And A Subscriber IP Address To Form A Unique Subscriber Identifier

Newly-cited McConnell describes a WAP gateway 1 that includes a Radius server RAS 30 and a gateway database 32. A handset 4 requests a circuit switched connection via a mobile network 3, and the signaling shown in Figure 5 results between a modem server "CISCO 5300" and the WAP gateway 1.

The Examiner rightly admits that McConnell fails "to teach an inventive concept of using the GTSN for combining the APN gateway address and the subscriber IP

¹ The modem server is not identified with any illustrated node in McConnell.

address to form a unique subscriber identifier." In addition, McConnell fails to disclose the additional claim feature of sending that unique subscriber identifier to the RADIUS server, as recited in each independent claim.

The Examiner relies on Modarressi as allegedly teaching the "inventive concept" that McConnell does not teach. Here the Examiner refers to the abstract of Modarressi and column 9, lines 21-40. Modarressi describes an enhanced Asymmetric Digital Subscriber Loop (ADSL) architecture for establishing a continuous logical connection between a user computer and a continuous service provider as well as establishing a temporary logical connection between the user computer and a temporary service provider. But this has nothing to do with combining an APN gateway address and a subscriber IP address to form a unique subscriber identifier.

The text in column 9 relied on by the Examiner refers to the user's computer 102b, as shown in Figure 3a (which includes the communications portal 330 referred to in this text), combining the continuous service provider 302 gateway IP address information with a "subnetwork address of the service cluster 303 to create a static route in routing table 332."

Column 9, lines 25-28. The routing table 332 is also located in the user computer, as shown in Figure 3a. The service cluster 303 is located in the continuous server provider 302 (See Fig. 3a).

Claim 1 recites that the <u>GGSN</u> is the entity that combines the APN gateway address and the subscriber IP address to form a unique subscriber identifier. This is completely different from what's described in column 9. It is the user terminal that is doing the combining—not a GGSN or some other gateway node. And the things being combined correspond to address information for the <u>continuous service provider 302—not</u> the user or user terminal. There is no teaching of combining any node address with a "subscriber IP address," let alone combining the

claimed APN gateway address with a subscriber IP address. Nor is anything that's combined by Modarressi "a unique subscriber identifier," as recited in the claims. To the contrary, what results from the user terminal combination is a static route to be stored in its routing table 332 for routing packets to the continuous server provider 302. In other words, the combined identifier in Modarressi identifies the continuous server provider and not the subscriber or the user computer.

Thus, even if the Examiner's combination of Modarressi and McConnell were accepted, for purposes of argument only, that combination fails to disclose multiple features recited in each of the independent claims. The following are examples from claim1:

- "using said GGSN for combining the APN gateway address and the subscriber IP address to form a unique subscriber identifier," and
- "sending from said GGSN said identifier to the RADIUS server."

Clear Error #2: There Is No Proper Motivation To Modify/Combine McConnell And Modarressi As The Examiner Proposes

There is no proper motivation to combine Modarressi with McConnell for the reasons propounded by the Examiner. First, there is no teaching of accounting in Modarressi. Second, McConnell already describes the gateway 1 sending to the "modem server" an allocated IP address for the handset mapped to the handset's MSISDN. In McConnell's view, the handset IP address and its MSISDN are perfectly sufficient for establishing communication, for network addressing, and for accounting. So the articulated "motivation" to change McConnell is not present or necessary. The Examiner creates that hindsight motivation after having read the instant application.

Leif Einar AUNE Appl. No. 09/803,022 December 16, 2005

Clear Error #3: McConnell Lacks One or More Claimed Nodes

The Examiner does not identify by reference number which node in McConnell

corresponds to the claimed GPRS system, external network, an access point name (APN), or a

GGSN. It is difficult therefore to know the Examiner's basis for rejection.

Even assuming that the mobile network 3 corresponds to the GPRS system, the WAP

gateway 1 corresponds to the radius server, and the "modem server" shown in Figure 5

corresponds to some sort of analog to the GGSN, there is no teaching of the claimed external

network or of an Access Point Name (APN) identifying the external network. Perhaps the

Examiner views the claimed external network as the origin server 10 in McConnell, but

McConnell does not describe any APN for the origin server 10 or assigning a gateway address to

the origin server 10. Nor is there a role for the origin server 10 in the authentication signaling

described by McConnell in conjunction with Figure 5 relied on by the Examiner.

Accordingly, the rejection should be withdrawn and this application allowed.

Respectfully submitted,

NIXON & VANDERHYE P.C.

Reg. No. 33,149

JRL:sd

901 North Glebe Road, 11th Floor

Arlington, VA 22203-1808

Telephone: (703) 816-4000

Facsimile: (703) 816-4100

- 4 -

1024131